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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Kohshi YOSHIMURA et al.**

Group Art Unit: **2832**

Serial No.: **10/068,970**

Examiner: **Lincoln D. Donovan**

Filed: **February 11, 2002**

P.T.O. Confirmation No.: **3916**

For: **RARE EARTH METAL-BASED PERMANENT MAGNET, AND PROCESS FOR PRODUCING THE SAME**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

RECEIVED  
JUN 17 2003  
TC 800 MAIL ROOM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

June 16, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. A copy of the corresponding Chinese Office Action with translation and one copy of each of these documents is attached.

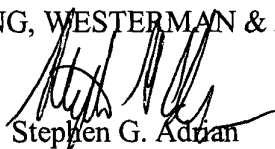
No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

  
Stephen G. Adrian

Attorney for Applicants

Reg. No. 32,878

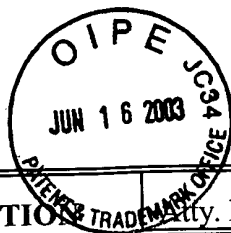
SGA/plb  
Atty. Docket No. **000028A**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449, Chinese Office Action with translation and 1 reference  
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|---|--|------------------------------|
| <b>INFORMATION<br/>DISCLOSURE<br/>CITATION<br/>PTO-1449</b> | City. Docket No. <b>000028A</b>              | Serial No. <b>10/068,970</b> |
|   | Applicant(s): <b>Kohshi YOSHIMURA et al.</b> |                              |
|   | Filing Date: <b>February 11, 2002</b>        | Group Art Unit: <b>2832</b>  |

### U.S. PATENT DOCUMENTS

| Examiner<br>Initial |    | Document No. | Name          | Date    | Class | Subclass | Filing Date<br>(If appropriate) |
|---------------------|----|--------------|---------------|---------|-------|----------|---------------------------------|
| _____               | AA | 5,302,464    | Nomura et al. | 4-12-94 | 428   | 551      |                                 |
| _____               | AB |              |               |         |       |          |                                 |
| _____               | AC |              |               |         |       |          |                                 |
| _____               | AD |              |               |         |       |          |                                 |
| _____               | AE |              |               |         |       |          |                                 |

### FOREIGN PATENT DOCUMENTS

|       |    | Document No. | Date | Country | Translation<br>(Yes or No) |
|-------|----|--------------|------|---------|----------------------------|
| _____ | AF |              |      |         |                            |
| _____ | AG |              |      |         |                            |
| _____ | AH |              |      |         |                            |
| _____ | AI |              |      |         |                            |
| _____ | AJ |              |      |         |                            |

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### OTHER DOCUMENTS

|          |                 |  |
|----------|-----------------|--|
| _____    | AK              |  |
| _____    | AL              |  |
| Examiner | Date Considered |  |